

# Kähler manifolds and transcendental techniques in algebraic geometry

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# Sheaf / De Rham / Dolbeault / cohomology

- Sheaf cohomology  $H^q(X, \mathcal{F})$   
especially when  $\mathcal{F}$  is a coherent analytic sheaf.
- Special case : cohomology groups  $H^q(X, R)$  with values  
in constant coefficient sheaves  $R = \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}, \dots$   
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= De Rham cohomology groups.
- $\Omega_X^p = \mathcal{O}(\wedge^p T_X^*)$  = sheaf of holomorphic  $p$ -forms on  $X$ .
- Cohomology classes [forms / currents yield same groups]

$\alpha$   $d$ -closed  $k$ -form/current to  $\mathbb{C} \mapsto \{\alpha\} \in H^{p+q}(X, \mathbb{C})$

$\alpha$   $\bar{\partial}$ -closed  $(p, q)$ -form/current to  $F \mapsto \{\alpha\} \in H^{p,q}(X, F)$

Dolbeault isomorphism (Dolbeault - Grothendieck 1953)

$$H^{0,q}(X, F) \simeq H^{0,q}(X, \mathcal{O}(F)),$$

$$H^{p,q}(X, F) \simeq H^{0,q}(X, \Omega_X^p \otimes \mathcal{O}(F))$$

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# Hodge decomposition theorem

- **Theorem.** If  $(X, \omega)$  is compact Kähler, then

$$H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X, \mathbb{C}).$$

- Each group  $H^{p,q}(X, \mathbb{C})$  is isomorphic to the space of  $(p, q)$  *harmonic forms*  $\alpha$  with respect to  $\omega$ , i.e.  $\Delta_\omega \alpha = 0$ .
- **Hodge Conjecture** [a millenium problem!].  
If  $X$  is a projective algebraic manifold,  
Hodge  $(p, p)$ -classes  $= H^{p,p}(X, \mathbb{C}) \cap H^{2p}(X, \mathbb{Q})$   
are generated by classes of algebraic cycles of  
codimension  $p$  with  $\mathbb{Q}$ -coefficients.
- (Claire Voisin, 2001)  $\exists$  4-dimensional complex torus  $X$   
possessing a non trivial Hodge class of type  $(2, 2)$ , such  
that every coherent analytic sheaf  $\mathcal{F}$  on  $X$  satisfies  
 $c_2(\mathcal{F}) = 0$ .

# Idea of proof of Claire Voisin's counterexample

The idea is to show the existence of a 4-dimensional complex torus  $X = \mathbb{C}^4/\Lambda$  which does not contain any analytic subset of positive dimension, and such that the Hodge classes of degree 4 are perpendicular to  $\omega^{n-2}$  for a suitable choice of the Kähler metric  $\omega$ .

The lattice  $\Lambda$  is explicitly found via a number theoretic construction of Weil based on the number field  $\mathbb{Q}[i]$ , also considered by S. Zucker.

The theorem of existence of Hermitian Yang-Mills connections for stable bundles combined with Lübke's inequality then implies  $c_2(\mathcal{F}) = 0$  for every coherent sheaf  $\mathcal{F}$  on the torus.

# Kodaira embedding theorem

**Theorem.**  $X$  a compact complex  $n$ -dimensional manifold.  
Then the following properties are equivalent.

- $X$  can be embedded in some projective space  $\mathbb{P}_{\mathbb{C}}^N$  as a closed analytic submanifold (and such a submanifold is automatically algebraic by Chow's theorem).
- $X$  carries a hermitian holomorphic line bundle  $(L, h)$  with positive definite smooth curvature form  $i\Theta_{L,h} > 0$ .  
For  $\xi \in L_x \simeq \mathbb{C}$ ,  $\|\xi\|_h^2 = |\xi|^2 e^{-\varphi(x)}$ ,

$$i\Theta_{L,h} = i\partial\bar{\partial}\varphi = -i\partial\bar{\partial}\log h,$$

$$c_1(L) = \left\{ \frac{i}{2\pi} \Theta_{L,h} \right\}.$$

- $X$  possesses a Hodge metric, i.e., a Kähler metric  $\omega$  such that  $\{\omega\} \in H^2(X, \mathbb{Z})$ .

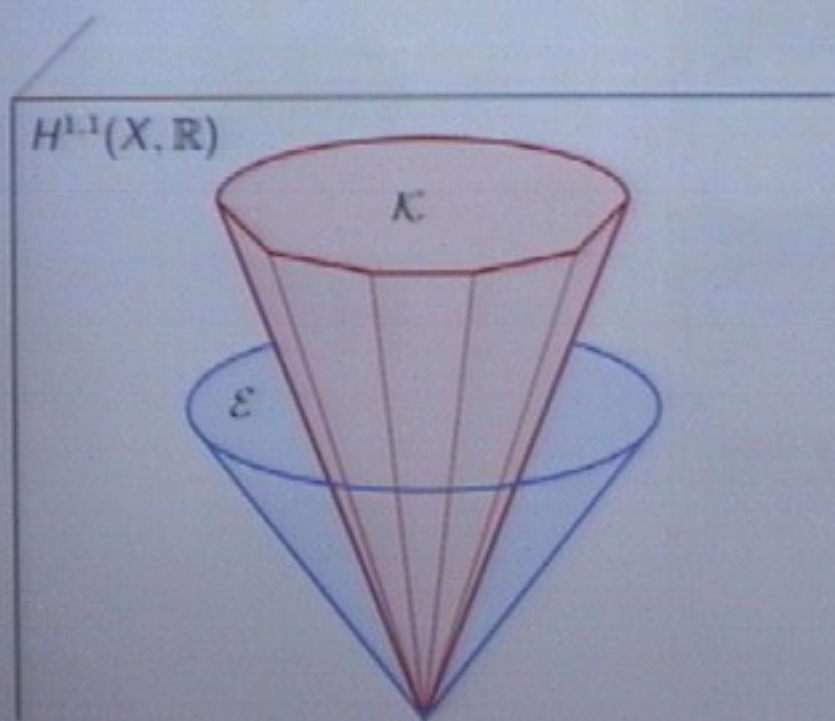
# Positive cones

**Definition.** Let  $X$  be a compact Kähler manifold.

- The **Kähler cone** is the set  $\mathcal{K} \subset H^{1,1}(X, \mathbb{R})$  of cohomology classes  $\{\omega\}$  of Kähler forms. This is an open convex cone.
- The **pseudo-effective cone** is the set  $\mathcal{E} \subset H^{1,1}(X, \mathbb{R})$  of cohomology classes  $\{T\}$  of closed positive  $(1, 1)$  currents. This is a closed convex cone.  
(by weak compactness of bounded sets of currents).
- Always true:  $\overline{\mathcal{K}} \subset \mathcal{E}$ .
- One can have:  $\overline{\mathcal{K}} \subsetneq \mathcal{E}$ :  
if  $X$  is the surface obtained by blowing-up  $\mathbb{P}^2$  in one point, then the exceptional divisor  $E \simeq \mathbb{P}^1$  has a cohomology class  $\{\alpha\}$  such that  $\int_E \alpha = E^2 = -1$ , hence  $\{\alpha\} \notin \overline{\mathcal{K}}$ , although  $\{\alpha\} = \{[E]\} \in \mathcal{E}$ .



# Kähler (red) cone and pseudoeffective (blue) cone





## Neron Severi parts of the cones

In case  $X$  is projective, it is interesting to consider the “algebraic part” of our “transcendental cones”  $\mathcal{K}$  and  $\mathcal{E}$ , which consist of suitable integral divisor classes. Since the cohomology classes of such divisors live in  $H^2(X, \mathbb{Z})$ , we are led to introduce the Neron-Severi lattice and the associated Neron-Severi space

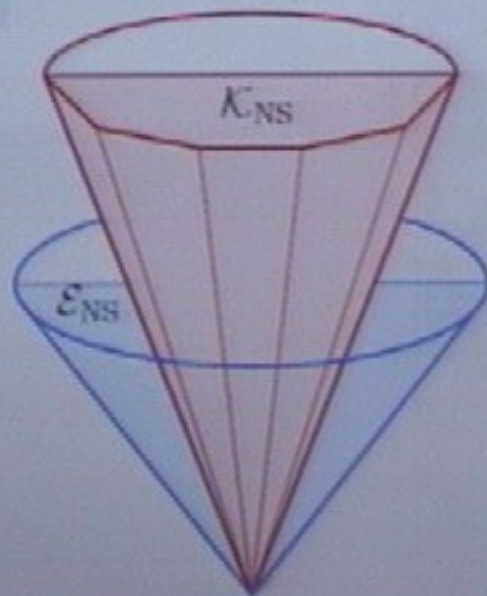
$$\begin{aligned}\mathrm{NS}(X) &:= H^{1,1}(X, \mathbb{R}) \cap (H^2(X, \mathbb{Z}) / \{\text{torsion}\}), \\ \mathrm{NS}_{\mathbb{R}}(X) &:= \mathrm{NS}(X) \otimes_{\mathbb{Z}} \mathbb{R}, \\ \mathcal{K}_{\mathrm{NS}} &:= \mathcal{K} \cap \mathrm{NS}_{\mathbb{R}}(X), \\ \mathcal{E}_{\mathrm{NS}} &:= \mathcal{E} \cap \mathrm{NS}_{\mathbb{R}}(X).\end{aligned}$$

# Neron Severi parts of the cones

$H^{1,1}(X, \mathbb{R})$

$NS_{\mathbb{R}}(X)$

$i$



# Complex manifolds / $(p, q)$ -forms

- Goal : study the geometric / topological / cohomological properties of compact Kähler manifolds
- A complex  $n$ -dimensional manifold is given by coordinate charts equipped with local holomorphic coordinates  $(z_1, z_2, \dots, z_n)$ .
- A differential form  $u$  of type  $(p, q)$  can be written as a sum

$$u(z) = \sum_{|J|=p, |K|=q} u_{JK}(z) dz_J \wedge d\bar{z}_K$$

where  $J = (j_1, \dots, j_p)$ ,  $K = (k_1, \dots, k_q)$ ,

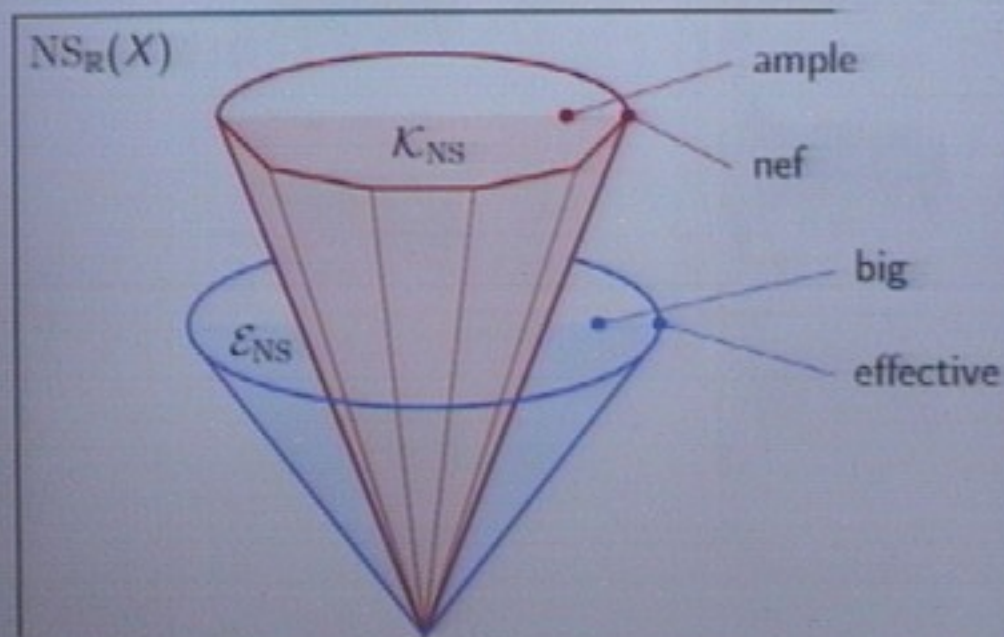
$$dz_J = dz_{j_1} \wedge \dots \wedge dz_{j_p}, \quad d\bar{z}_K = d\bar{z}_{k_1} \wedge \dots \wedge d\bar{z}_{k_q}.$$

**Theorem** (Kodaira+successors, D90). Assume  $X$  projective.

- $\mathcal{K}_{\text{NS}}$  is the open cone generated by **ample** (or **very ample**) divisors  $A$  (Recall that a divisor  $A$  is said to be very ample if the linear system  $H^0(X, \mathcal{O}(A))$  provides an embedding of  $X$  in projective space).
- The closed cone  $\overline{\mathcal{K}}_{\text{NS}}$  consists of the closure of the cone of **nef divisors**  $D$  (or nef line bundles  $L$ ), namely effective integral divisors  $D$  such that  $D \cdot C \geq 0$  for every curve  $C$ .
- $\mathcal{E}_{\text{NS}}$  is the closure of the cone of **effective divisors**, i.e. divisors  $D = \sum c_j D_j$ ,  $c_j \in \mathbb{R}_+$ .
- The interior  $\mathcal{E}_{\text{NS}}^\circ$  is the cone of **big divisors**, namely divisors  $D$  such that  $h^0(X, \mathcal{O}(kD)) \geq c k^{\dim X}$  for  $k$  large.

Proof:  $L^2$  estimates for  $\bar{\partial}$  / Bochner-Kodaira technique

# ample / nef / effective / big divisors





# Approximation of currents, Zariski decomposition

- **Definition.** On  $X$  compact Kähler, a *Kähler current*  $T$  is a closed positive  $(1, 1)$ -current  $T$  such that  $T \geq \delta \omega$  for some smooth hermitian metric  $\omega$  and a constant  $\delta \ll 1$ .

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- **Theorem.**  $\alpha \in \mathcal{E}^o \Leftrightarrow \alpha = \{T\}$ ,  $T =$  a Kähler current.  
We say that  $\mathcal{E}^o$  is the cone of **big**  $(1, 1)$ -classes.

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- **Theorem (D92).** Any Kähler current  $T$  can be written

$$T = \lim T_m$$

where  $T_m \in \alpha = \{T\}$  has logarithmic poles, i.e.

$\exists$  a modification  $\mu_m: \tilde{X}_m \rightarrow X$  such that

$$\mu_m^* T_m = [E_m] + \beta_m$$

where  $E_m$  is an effective  $\mathbb{Q}$ -divisor on  $\tilde{X}_m$  with coefficients in  $\frac{1}{m}\mathbb{Z}$  and  $\beta_m$  is a Kähler form on  $\tilde{X}_m$ .

# Idea of proof of analytic Zariski decomposition (1)

Locally one can write  $T = i\partial\bar{\partial}\varphi$  for some strictly plurisubharmonic potential  $\varphi$  on  $X$ . The approximating potentials  $\varphi_m$  of  $\varphi$  are defined as

$$\varphi_m(z) = \frac{1}{2m} \log \sum_{\ell} |g_{\ell,m}(z)|^2$$

where  $(g_{\ell,m})$  is a Hilbert basis of the space

$$\mathcal{H}(\Omega, m\varphi) = \left\{ f \in \mathcal{O}(\Omega) : \int_{\Omega} |f|^2 e^{-2m\varphi} dV < +\infty \right\}.$$

The Ohsawa-Takegoshi  $L^2$  extension theorem (applied to extension from a single isolated point) implies that there are enough such holomorphic functions, and thus  $\varphi_m \geq \varphi - C/m$ . On the other hand  $\varphi = \lim_{m \rightarrow +\infty} \varphi_m$  by a Bergman kernel trick and by the mean value inequality.

## Idea of proof of analytic Zariski decomposition (2)

The Hilbert basis  $(g_{\ell,m})$  is a family of local generators of the multiplier ideal sheaf  $\mathcal{I}(mT) = \mathcal{I}(m\varphi)$ . The modification  $\mu_m: \tilde{X}_m \rightarrow X$  is obtained by blowing-up this ideal sheaf, with

$$\mu_m^* \mathcal{I}(mT) = \mathcal{O}(-mE_m).$$

for some effective  $\mathbb{Q}$ -divisor  $E_m$  with normal crossings on  $\tilde{X}_m$ . Now, we set  $T_m = i\partial\bar{\partial}\varphi_m$  and  $\beta_m = \mu_m^* T_m - [E_m]$ . Then  $\beta_m = i\partial\bar{\partial}\psi_m$  where

$$\psi_m = \frac{1}{2m} \log \sum_{\ell} |g_{\ell,m} \circ \mu_m / h|^2 \quad \text{locally on } \tilde{X}_m$$

and  $h$  is a generator of  $\mathcal{O}(-mE_m)$ , and we see that  $\beta_m$  is a smooth semi-positive form on  $\tilde{X}_m$ . The construction can be made global by using a gluing technique, e.g. via partitions of unity, and  $\beta_m$  can be made Kähler by a perturbation argument.



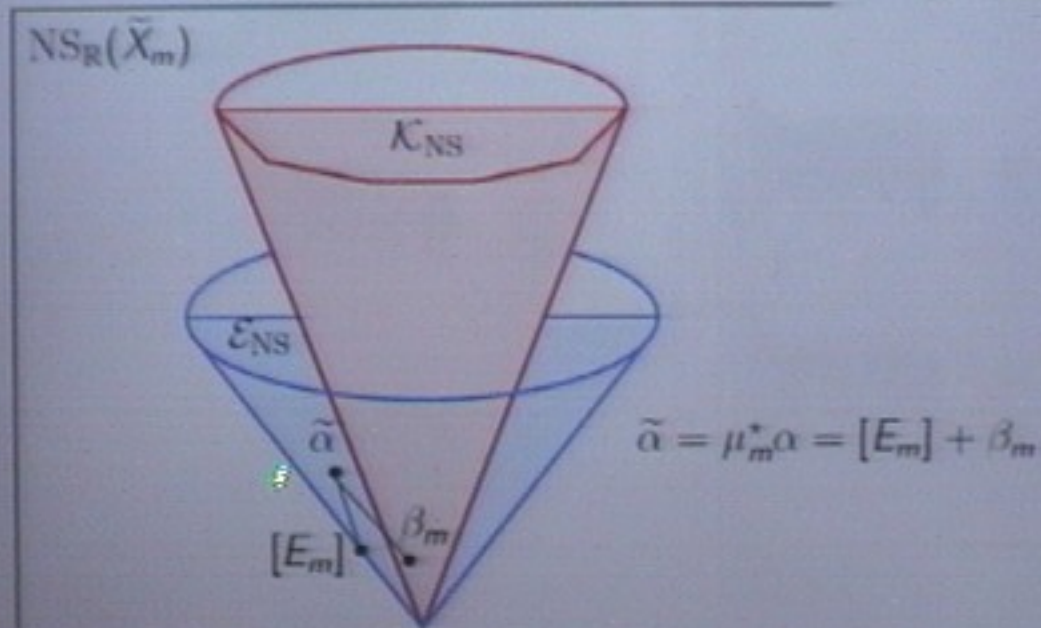
# Algebraic analogue

The more familiar algebraic analogue would be to take  $\alpha = c_1(L)$  with a big line bundle  $L$  and to blow-up the base locus of  $|mL|$ ,  $m \gg 1$ , to get a  $\mathbb{Q}$ -divisor decomposition

$$\mu_m^* L \sim E_m + D_m, \quad E_m \text{ effective, } D_m \text{ free.}$$

Such a blow-up is usually referred to as a "log resolution" of the linear system  $|mL|$ , and we say that  $E_m + D_m$  is an approximate Zariski decomposition of  $L$ . We will also use this terminology for Kähler currents with logarithmic poles.

# Analytic Zariski decomposition



# Characterization of the Fujiki class $\mathcal{C}$

**Theorem** (Demailly-Păun 2004). *A compact complex manifold  $X$  is bimeromorphic to a Kähler manifold  $\tilde{X}$  (or equivalently, dominated by a Kähler manifold  $\tilde{X}$ ) if and only if it carries a Kähler current  $T$ .*

*Proof.* If  $\mu: \tilde{X} \rightarrow X$  is a modification and  $\tilde{\omega}$  is a Kähler metric on  $\tilde{X}$ , then  $T = \mu_* \tilde{\omega}$  is a Kähler current on  $X$ .

Conversely, if  $T$  is a Kähler current, we take  $\tilde{X} = \tilde{X}_m$  and  $\tilde{\omega} = \beta_m$  for  $m$  large enough.

**Definition.** *The class of compact complex manifolds  $X$  bimeromorphic to some Kähler manifold  $\tilde{X}$  is called the Fujiki class  $\mathcal{C}$ .*

*Hodge decomposition still holds true in  $\mathcal{C}$ .*

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# Numerical characterization of the Kähler cone

**Theorem** (Demailly-Păun 2004).

*Let  $X$  be a compact Kähler manifold. Let*

$$\mathcal{P} = \left\{ \alpha \in H^{1,1}(X, \mathbb{R}); \int_Y \alpha^p > 0, \forall Y \subset X, \dim Y = p \right\}.$$

*"cone of numerically positive classes".*

*Then the Kähler cone  $K$  is*

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one of the connected components of  $\mathcal{P}$ .*

**Corollary** (Projective case).

*If  $X$  is projective algebraic, then  $\mathcal{K} = \mathcal{P}$ .*

*Note: this is a "transcendental version" of the  
Nakai-Moishezon criterion.*

## Example (non projective) for which $\mathcal{K} \subsetneq \mathcal{P}$ .

Take  $X =$  generic complex torus  $X = \mathbb{C}^n / \Lambda$ .

Then  $X$  does not possess any analytic subset except finite subsets and  $X$  itself.

Hence  $\mathcal{P} = \{\alpha \in H^{1,1}(X, \mathbb{R}) : \int_X \alpha^n > 0\}$ .

Since  $H^{1,1}(X, \mathbb{R})$  is in one-to-one correspondence with constant hermitian forms,  $\mathcal{P}$  is the set of hermitian forms on  $\mathbb{C}^n$  such that  $\det(\alpha) > 0$ , i.e. possessing an even number of negative eigenvalues.

$\mathcal{K}$  is the component with all eigenvalues  $> 0$ .

## Proof of the theorem : use Monge-Ampère

Fix  $\alpha \in \overline{\mathcal{K}}$  so that  $\int_X \alpha^n > 0$ .

If  $\omega$  is Kähler, then  $\{\alpha + \varepsilon\omega\}$  is a Kähler class  $\forall \varepsilon > 0$ .

Use the **Calabi-Yau theorem** (Yau 1978) to solve the Monge-Ampère equation

$$(\alpha + \varepsilon\omega + i\partial\bar{\partial}\varphi_\varepsilon)^n = f_\varepsilon$$

where  $f_\varepsilon > 0$  is a suitably chosen volume form.

Necessary and sufficient condition :

$$\int_X f_\varepsilon = (\alpha + \varepsilon\omega)^n \quad \text{in } H^{n,n}(X, \mathbb{R}).$$

Otherwise, the volume form of the Kähler metric

$\alpha_\varepsilon = \alpha + \varepsilon\omega + i\partial\bar{\partial}\varphi_\varepsilon$  can be spread randomly.



## Proof of the theorem : concentration of mass

In particular, the mass of the right hand side  $f_\varepsilon$  can be spread in an  $\varepsilon$ -neighborhood  $U_\varepsilon$  of any given subvariety  $Y \subset X$ .

If  $\text{codim } Y = p$ , one can show that

$$(\alpha + \varepsilon\omega + i\partial\bar{\partial}\varphi_\varepsilon)^p \rightarrow \Theta \quad \text{weakly}$$

where  $\Theta$  positive  $(p, p)$ -current and  $\Theta \geq \delta[Y]$  for some  $\delta > 0$ .

Now, "diagonal trick": apply the above result to

$$\tilde{X} = X \times X, \quad \tilde{Y} = \text{diagonal} \subset \tilde{X}, \quad \tilde{\alpha} = \text{pr}_1^* \alpha + \text{pr}_2^* \alpha.$$

As  $\tilde{\alpha}$  is nef on  $\tilde{X}$  and  $\int_{\tilde{X}} (\tilde{\alpha})^{2n} > 0$ , it follows by the above that the class  $\{\tilde{\alpha}\}^n$  contains a Kähler current  $\Theta$  such that  $\Theta \geq \delta[\tilde{Y}]$  for some  $\delta > 0$ . Therefore

$$T := (\text{pr}_1)_*(\Theta \wedge \text{pr}_2^* \omega)$$

is numerically equivalent to a multiple of  $\alpha$  and dominates  $\delta\omega$ , and we see that  $T$  is a Kähler current.

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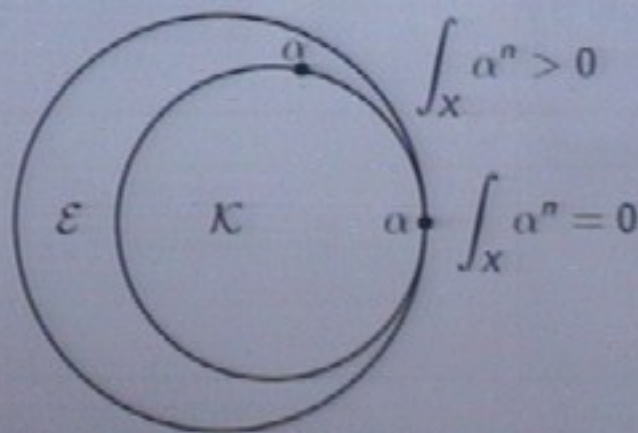
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# Generalized Grauert-Riemenschneider result

**Main conclusion** (Demailly-Păun 2004).

Let  $X$  be a compact Kähler manifold and let  $\{\alpha\} \in \overline{\mathcal{K}}$  such that  $\int_X \alpha^n > 0$ .

Then  $\{\alpha\}$  contains a Kähler current  $T$ , i.e.  $\{\alpha\} \in \mathcal{E}^\circ$ .





# Complex manifolds / Currents

- A current is a differential form with **distribution coefficients**.

$$T(z) = \sum_{|J|=p, |K|=q} T_{JK}(z) dz_J \wedge d\bar{z}_K$$

- The current  $T$  is said to be **positive** if the distribution  $\sum \lambda_j \bar{\lambda}_k T_{JK}$  is a positive real measure for all  $(\lambda_j) \in \mathbb{C}^N$  (so that  $T_{KJ} = \overline{T_{JK}}$ , hence  $\overline{T} = T$ ).
- The coefficients  $T_{JK}$  are then **complex measures** – and the diagonal ones  $T_{JJ}$  are **positive real measures**.

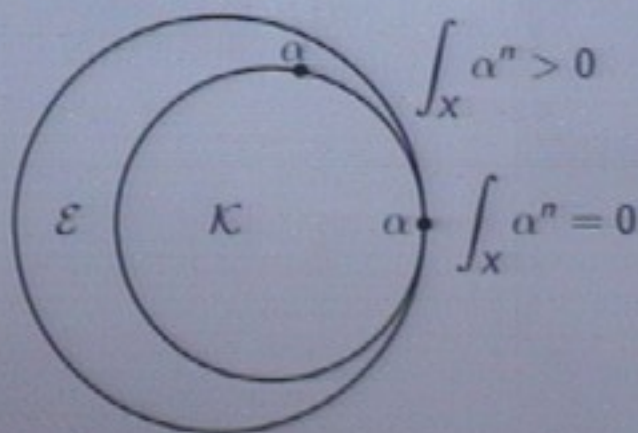


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## Final step of proof

Clearly the open cone  $\mathcal{K}$  is contained in  $\mathcal{P}$ , hence in order to show that  $\mathcal{K}$  is one of the connected components of  $\mathcal{P}$ , we need only show that  $\mathcal{K}$  is closed in  $\mathcal{P}$ , i.e. that  $\overline{\mathcal{K}} \cap \mathcal{P} \subset \mathcal{K}$ . Pick a class  $\{\alpha\} \in \overline{\mathcal{K}} \cap \mathcal{P}$ . In particular  $\{\alpha\}$  is nef and satisfies  $\int_X \alpha^n > 0$ . Hence  $\{\alpha\}$  contains a Kähler current  $T$ .

Now, an induction on dimension using the assumption  $\int_Y \alpha^n > 0$  for all analytic subsets  $Y$  (we also use resolution of singularities for  $Y$  at this step) shows that the restriction  $\{\alpha\}|_Y$  is the class of a Kähler current on  $Y$ .

We conclude that  $\{\alpha\}$  is a Kähler class by results of Paun (PhD 1997), therefore  $\{\alpha\} \in \mathcal{K}$ .

## Variants of the main theorem

**Corollary 1** (DP2004). *Let  $X$  be a compact Kähler manifold.*

$\{\alpha\} \in H^{1,1}(X, \mathbb{R})$  is Kähler  $\Leftrightarrow \exists \omega$  Kähler s.t.  $\int_Y \alpha^k \wedge \omega^{p-k} > 0$

for all  $Y \subset X$  irreducible and all  $k = 1, 2, \dots, p = \dim Y$ .

*Proof.* Argue with  $(1-t)\alpha + t\omega$ ,  $t \in [0, 1]$ .

**Corollary 2** (DP2004). *Let  $X$  be a compact Kähler manifold.*

$\{\alpha\} \in H^{1,1}(X, \mathbb{R})$  is nef ( $\alpha \in \overline{\mathcal{K}}$ )  $\Leftrightarrow \forall \omega$  Kähler  $\int_Y \alpha \wedge \omega^{p-1} \geq 0$

for all  $Y \subset X$  irreducible and all  $k = 1, 2, \dots, p = \dim Y$ .

**Consequence.** the dual of the nef cone  $\overline{\mathcal{K}}$  is the closed convex cone in  $H_{\mathbb{R}}^{n-1, n-1}(X)$  generated by cohomology classes of currents of the form  $[Y] \wedge \omega^{p-1}$  in  $H^{n-1, n-1}(X, \mathbb{R})$ .

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# Deformations of compact Kähler manifolds

A deformation of compact complex manifolds is a proper holomorphic map

$$\pi : \mathcal{X} \rightarrow S \quad \text{with smooth fibers } X_t = \pi^{-1}(t).$$

**Basic question** (Kodaira  $\sim$  1960). Is every compact Kähler manifold  $X$  a limit of projective manifolds :

$$X \simeq X_0 = \lim X_{t_\nu}, \quad t_\nu \rightarrow 0, \quad X_{t_\nu} \text{ projective ?}$$

## Recent results by Claire Voisin (2004)

- In any dimension  $\geq 4$ ,  $\exists X$  compact Kähler manifold which does not have the homotopy type (or even the homology ring) of a complex projective manifold.
- In any dimension  $\geq 8$ ,  $\exists X$  compact Kähler manifold such that no compact bimeromorphic model  $X'$  of  $X$  has the homotopy type of a projective manifold.



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# Conjecture on deformation stability of the Kähler property

**Theorem** (Kodaira and Spencer 1960).

The Kähler property is open with respect to deformation :  
if  $X_{t_0}$  is Kähler for some  $t_0 \in S$ , then the nearby fibers  $X_t$  are also Kähler (where "nearby" is in metric topology).

We expect much more.

**Conjecture.** Let  $\mathcal{X} \rightarrow S$  be a deformation with irreducible base space  $S$  such that some fiber  $X_{t_0}$  is Kähler. Then there should exist a countable union of analytic strata  $S_\nu \subset S$ ,  $S_\nu \neq S$ , such that

- $X_t$  is Kähler for  $t \in S \setminus \bigcup S_\nu$ .
- $X_t$  is bimeromorphic to a Kähler manifold (i.e. has a Kähler current) for  $t \in \bigcup S_\nu$ .

# Theorem on deformation stability of Kähler cones

**Theorem** (Demailly-Păun 2004). Let  $\pi : \mathcal{X} \rightarrow S$  be a deformation of compact Kähler manifolds over an irreducible base  $S$ . Then there exists a countable union  $S' = \bigcup S_v$  of analytic subsets  $S_v \subsetneq S$ , such that the Kähler cones  $\mathcal{K}_t \subset H^{1,1}(X_t, \mathbb{C})$  of the fibers  $X_t = \pi^{-1}(t)$  are  $\nabla^{1,1}$ -invariant over  $S \setminus S'$  under parallel transport with respect to the  $(1,1)$ -projection  $\nabla^{1,1}$  of the Gauss-Manin connection  $\nabla$  in the decomposition of

$$\nabla = \begin{pmatrix} \nabla^{2,0} & * & 0 \\ * & \nabla^{1,1} & * \\ 0 & * & \nabla^{0,2} \end{pmatrix}$$

on the Hodge bundle  $H^2 = H^{2,0} \oplus H^{1,1} \oplus H^{0,2}$ .

# Positive cones in $H^{n-1,n-1}(X)$ and Serre duality

**Definition.** Let  $X$  be a compact Kähler manifold.

- Cone of  $(n-1, n-1)$  positive currents

$$\mathcal{N} = \overline{\text{cone}}\{ \{ T \} \in H^{n-1,n-1}(X, \mathbb{R}); T \text{ closed } \geq 0 \}.$$

- Cone of effective curves

$$\begin{aligned} \mathcal{N}_{\text{NS}} &= \mathcal{N} \cap \text{NS}_{\mathbb{R}}^{n-1,n-1}(X), \\ &= \overline{\text{cone}}\{ \{ C \} \in H^{n-1,n-1}(X, \mathbb{R}); C \text{ effective curve} \}. \end{aligned}$$

- Cone of movable curves : with  $\mu : \bar{X} \rightarrow X$ , let

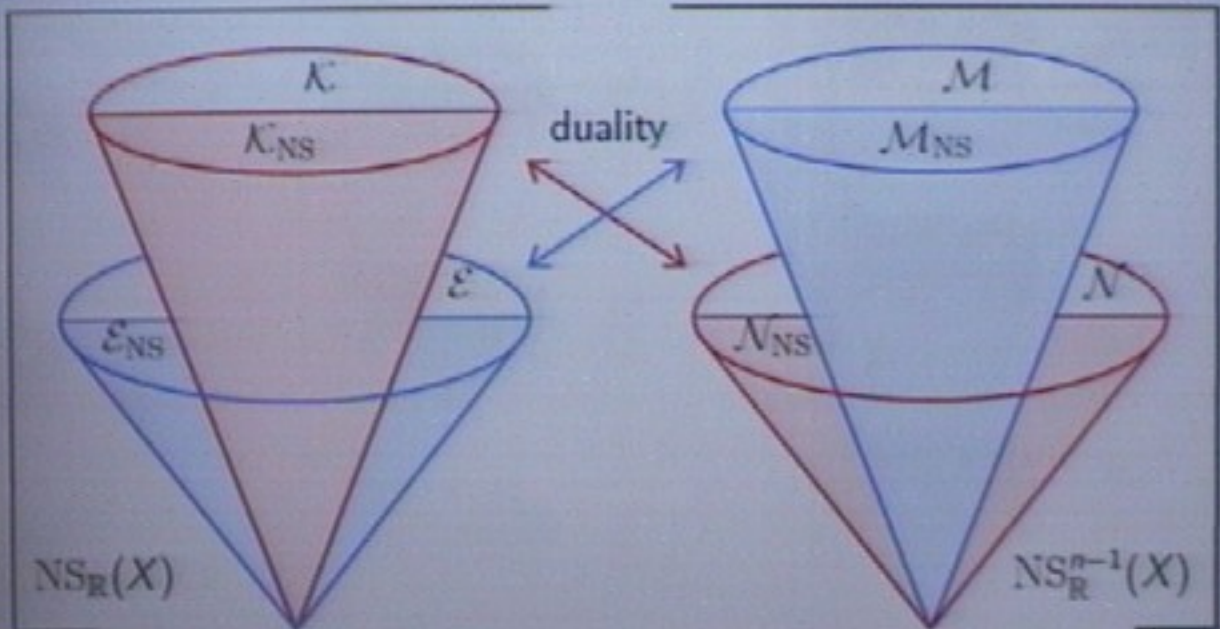
$$\begin{aligned} \mathcal{M}_{\text{NS}} &= \overline{\text{cone}}\{ \{ C \} \in H^{n-1,n-1}(X, \mathbb{R}); [C] = \mu_*(H_1 \cdots H_{n-1}) \} \\ &\text{where } H_j = \text{ample hyperplane section of } \bar{X}. \end{aligned}$$

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# Main duality theorem



$$H^{1,1}(X, \mathbb{R}) \leftarrow \text{Serre duality} \rightarrow H^{n-1,n-1}(X, \mathbb{R})$$



# Complex manifolds / Basic examples of Currents

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## Precise duality statement

Recall that the Serre duality pairing is

$$(\alpha^{(p,q)}, \beta^{(n-p, n-q)}) \longmapsto \int_X \alpha \wedge \beta.$$

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*If  $X$  is compact Kähler, then*

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**Conjecture** (Boucksom-Demailly-Paun-Peternell 2004)

*If  $X$  is Kähler, then*

*$\mathcal{E}$  and  $\mathcal{M}$  should be dual cones.*



# Concept of volume (very important !)

**Definition** (Boucksom 2002).

The **volume** (*movable self-intersection*) of a big class  $\alpha \in \mathcal{E}^o$  is

$$\text{Vol}(\alpha) = \sup_{T \in \alpha} \int_{\tilde{X}} \beta^n > 0$$

where the supremum is taken over all Kähler currents  $T \in \alpha$  with logarithmic poles, and  $\mu^* T = [E] + \beta$  with respect to some modification  $\mu: \tilde{X} \rightarrow X$ .

If  $\alpha \in \mathcal{K}$ , then  $\text{Vol}(\alpha) = \alpha^n = \int_X \alpha^n$ .

**Theorem.** (Boucksom 2002). If  $L$  is a big line bundle and

$$\mu_m^*(mL) = [E_m] + [D_m]$$

(where  $E_m$  = fixed part,  $D_m$  = moving part), then

$$\text{Vol}(c_1(L)) = \lim_{m \rightarrow +\infty} \frac{n!}{m^n} h^0(X, mL) = \lim_{m \rightarrow +\infty} D_m^n.$$

# Movable intersection theory

**Theorem** (Boucksom 2002) *Let  $X$  be a compact Kähler manifold and*

$$H_{\geq 0}^{k,k}(X) = \{ \{T\} \in H^{k,k}(X, \mathbb{R}); T \text{ closed } \geq 0 \}.$$

- $\forall k = 1, 2, \dots, n$ ,  $\exists$  canonical "movable intersection product"

$$\mathcal{E} \times \dots \times \mathcal{E} \rightarrow H_{\geq 0}^{k,k}(X), \quad (\alpha_1, \dots, \alpha_k) \mapsto \langle \alpha_1 \cdot \alpha_2 \cdots \alpha_{k-1} \cdot \alpha_k \rangle$$

such that  $\text{Vol}(\alpha) = \langle \alpha^n \rangle$  whenever  $\alpha$  is a big class.

- The product is increasing, homogeneous of degree 1 and superadditive in each argument, i.e.

$$\langle \alpha_1 \cdots (\alpha'_j + \alpha''_j) \cdots \alpha_k \rangle \geq \langle \alpha_1 \cdots \alpha'_j \cdots \alpha_k \rangle + \langle \alpha_1 \cdots \alpha''_j \cdots \alpha_k \rangle.$$

It coincides with the ordinary intersection product when the  $\alpha_j \in \overline{K}$  are nef classes.

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# Construction of the movable intersection product

First assume that all classes  $\alpha_j$  are big, i.e.  $\alpha_j \in \mathcal{E}^\circ$ . Fix a smooth closed  $(n-k, n-k)$  semi-positive form  $u$  on  $X$ . We select Kähler currents  $T_j \in \alpha_j$  with logarithmic poles, and simultaneous more and more accurate log-resolutions  $\mu_m: \tilde{X}_m \rightarrow X$  such that

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We define

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as a weakly convergent subsequence. The main point is to show that there is actually convergence and that the limit is unique in cohomology; this is based on "monotonicity properties" of the Zariski decomposition.



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# Generalized abundance conjecture

**Definition.** For a class  $\alpha \in H^{1,1}(X, \mathbb{R})$ , the numerical dimension  $\nu(\alpha)$  is

- $\nu(\alpha) = -\infty$  if  $\alpha$  is not pseudo-effective,
- $\nu(\alpha) = \max\{p \in \mathbb{N}; \langle \alpha^p \rangle \neq 0\} \in \{0, 1, \dots, n\}$  if  $\alpha$  is pseudo-effective.

**Conjecture** ("generalized abundance conjecture"). For an arbitrary compact Kähler manifold  $X$ , the Kodaira dimension should be equal to the numerical dimension :

$$\kappa(X) = \nu(c_1(K_X)).$$

**Remark.** The generalized abundance conjecture holds true when  $\nu(c_1(K_X)) = -\infty, 0, n$  (cases  $-\infty$  and  $n$  being easy).

## Complex manifolds / Basic examples of Currents

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# Proof of duality between $\mathcal{E}_{NS}$ and $\mathcal{M}_{NS}$

**Theorem** (Boucksom-Demailly-Păun-Peternell 2004).

For  $X$  projective, a class  $\alpha$  is in  $\mathcal{E}_{NS}$  (pseudo-effective) if and only if it is dual to the cone  $\mathcal{M}_{NS}$  of moving curves.

*Proof of the theorem.* We want to show that  $\mathcal{E}_{NS} = \mathcal{M}_{NS}^\vee$ . By obvious positivity of the integral pairing, one has in any case

$$\mathcal{E}_{NS} \subset (\mathcal{M}_{NS})^\vee.$$

If the inclusion is strict, there is an element  $\alpha \in \partial \mathcal{E}_{NS}$  on the boundary of  $\mathcal{E}_{NS}$  which is in the interior of  $\mathcal{M}_{NS}^\vee$ . Hence

$$(*) \quad \alpha \cdot \Gamma \geq \varepsilon \omega \cdot \Gamma$$

for every moving curve  $\Gamma$ , while  $\langle \alpha^n \rangle = \text{Vol}(\alpha) = 0$ .

# Characterization of uniruled varieties

Recall that a projective variety is called **uniruled** if it can be covered by a family of rational curves  $C_t \simeq \mathbb{P}^1_{\mathbb{C}}$ .

**Theorem** (Boucksom-Demailly-Paun-Peternell 2004)

A projective manifold  $X$  is **not uniruled** if and only if  $K_X$  is pseudo-effective, i.e.  $K_X \in \mathcal{E}_{NS}$ .

*Proof (of the non trivial implication).* If  $K_X \notin \mathcal{E}_{NS}$ , the duality pairing shows that there is a moving curve  $C_t$  such that  $K_X \cdot C_t < 0$ . The standard "**bend-and-break**" lemma of Mori then implies that there is family  $\Gamma_t$  of **rational curves** with  $K_X \cdot \Gamma_t < 0$ , so  $X$  is uniruled.

# Complex manifolds / Kähler metrics

- A Kähler metric is a smooth positive definite (1,1)-form

$$\omega(z) = i \sum_{1 \leq j, k \leq n} \omega_{j\bar{k}}(z) dz_j \wedge d\bar{z}_k \quad \text{such that } d\omega = 0.$$

- The manifold  $X$  is said to be Kähler (or of Kähler type) if it possesses at least one Kähler metric  $\omega$  [Kähler 1933]
- Every complex analytic and locally closed submanifold  $X \subset \mathbb{P}_{\mathbb{C}}^N$  in projective space is Kähler when equipped with the restriction of the Fubini-Study metric

$$\omega_{FS} = \frac{i}{2\pi} \log(|z_0|^2 + |z_1|^2 + \dots + |z_N|^2).$$

- Especially projective algebraic varieties are Kähler.



- Sheaf cohomology  $H^q(X, \mathcal{F})$   
especially when  $\mathcal{F}$  is a coherent analytic sheaf.

# Sheaf / De Rham / Dolbeault / cohomology

- Sheaf cohomology  $H^q(X, \mathcal{F})$   
especially when  $\mathcal{F}$  is a coherent analytic sheaf.
- Special case : cohomology groups  $H^q(X, R)$  with values  
in constant coefficient sheaves  $R = \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}, \dots$   
= De Rham cohomology groups.